

University of California, Berkeley

**Graduate Study
in
English**



2024-25

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THE Ph.D. PROGRAM

The doctoral degree requires satisfactory completion of the following five requirements:

- 1) Thirteen courses undertaken in graduate status at Berkeley;
- 2) Course work in a broad range of fields of English and American literature;
- 3) Demonstration of competence in two foreign languages, or advanced knowledge of one, relevant to the student's research interests;
- 4) An oral qualifying examination;
- 5) A prospectus and dissertation.

The normative time to complete the doctoral program is six years (twelve semesters).

REQUIREMENT 1: COURSES

The general goal of the first two years of the program is to assure that the student has a broad and varied knowledge of the fields of British, American, and Anglophone literature in their historical dimensions, and is also familiar with a wide range of literary forms, critical approaches, and scholarly methods. A coherent demonstration of this knowledge is the aim of the course and breadth requirements and of the system of regular advising and evaluation of student work. At the end of the two-year period, the student's record is reviewed in its entirety in order to ascertain whether they are able and ready to proceed to the qualifying examination and the more specialized phase of Ph.D. study.

Students will complete twelve 4-unit graduate-level courses, and remove all incomplete grades, before taking the qualifying examination. A required thirteenth course in reading and composition pedagogy may be taken later. The distribution of the twelve courses is as follows:

- 1) English 200, "Problems in the Study of Literature"
- 2) Medieval through Sixteenth Century
- 3) Seventeenth through Eighteenth Century
- 4) Nineteenth Century
- 5) Twentieth Century
- 6) A course organized in terms other than chronological coverage (special problems, theory, minority discourse, etc.)
- 7-12) Elective courses

At least seven courses must be drawn from English Department offerings; however, students are strongly encouraged to take courses offered in other departments, in part to work with outside faculty members who may later serve on qualifying exam and dissertation committees. Courses offered for 3 units in other departments may be approved as electives at the Graduate Chair's discretion. At least one course must be an English 250 seminar, requiring a substantial piece of writing. Four courses (#2-#5 above) will be devoted to fulfilling the historical breadth requirement (see page 3).

Upper-division or graduate courses in a foreign language may count toward the twelve-course requirement, whether they also are used to fulfill the language requirement or not.

Independent Study: Up to two independent studies, English 298 (Directed Reading) courses, may count toward the twelve-course requirement, with the approval of the Graduate Chair. English 298s should meet on a regular basis; instructors should provide a course description and a sequence of readings at the beginning of the semester, and should assign at least twenty pages of student writing over the course of the semester. With

the consent of the instructor, students may also enroll in English 298s in conjunction with undergraduate upper-division lecture courses (100-series courses) and when employed as Readers for undergraduate courses (see page 13). Reading and writing assignments should be commensurate with those of standard graduate seminars.

Students wishing to engage in independent study courses with English Department Faculty may petition the Director of Graduate Studies for approval. The student must submit a plan of reading and writing, as well as approval from the instructor. In the case of an independent study taken in conjunction with an upper-division undergraduate course, the student may provide a syllabus and a list of any additional readings. Once approved, the student should contact the GSAO who will help enroll them in the course.

Students who have completed graduate-level course work at other institutions may submit a written petition to the Graduate Chair requesting that specific courses be considered for credit (transferred) toward satisfying course and breadth requirements. The petition should provide a brief description of the course(s) to be considered. **In no case will such credit be given for more than three courses.**

English 200, "Problems in the Study of Literature," is taken by entering students in the fall semester. Students who have done previous graduate work elsewhere may petition for a waiver of English 200 by submitting to the Graduate Chair a letter describing an equivalent course they have successfully completed, reviewing any research projects already done in graduate courses, and enclosing a transcript.

All courses fulfilling the course requirement, except for the pedagogy course, must be taken for a letter grade. (So must Independent Study/Directed Research courses numbered 298.) Any additional courses in which students enroll may be taken for a grade of Satisfactory/Unsatisfactory, including lower-division language courses taken in preparation for satisfying the language requirement.

In addition to the twelve courses that must be completed before the qualifying examination, each student must take English 375, "The Teaching of Composition and Literature" (or its equivalent in a comparable department, under special circumstances). This course must be taken no later than the semester in which the student first serves as a Graduate Student Instructor, typically in the fall semester of the third year. This requirement may be waived, with permission from the Graduate Chair, if the student has acquired significant teaching experience before entering the program.

REQUIREMENT 2: BREADTH

A student must take one course at the graduate level in the Department of English from each of the following four historical groups:

- 1) Medieval through Sixteenth Century (British);
- 2) Seventeenth through Eighteenth Century (British and/or American);
- 3) Nineteenth Century (British, American, and/or Anglophone);
- 4) Twentieth Century (British, American, and/or Anglophone).

The courses satisfying these four groups must include at least one course in American literature, but no more than two such courses. No more than one group is to be satisfied by a course in Anglophone literature.

No single course may be used to satisfy more than one group. A student may satisfy a group with a course that covers material in more than one group, provided that the main emphasis of their work in the course falls on material in the relevant group. In such a case or in any case where ambiguity might arise, the student should ask the instructor to indicate which group the student's work has satisfied.

If an Old English course is used in partial satisfaction of the language requirement (page 4), it cannot also count as satisfying the requirement of a course in group 1.

One independent study course, English 298, may be used to fulfill a breadth requirement, contingent on prior approval of the Graduate Chair. No more than two groups may be satisfied by graduate-level courses completed at other institutions (transfer courses).

Information About Courses

All information regarding courses may be found on the following web sites:

The Berkeley Academic Guide, <http://guide.berkeley.edu/courses/>
The Online Schedule of Classes, <http://classes.berkeley.edu/>

REQUIREMENT 3: LANGUAGES

For the Ph.D. in English, the student must demonstrate either:

- 1) Proficiency in two approved foreign languages; at least one of these must be satisfied by exam; or
- 2) Advanced knowledge in one approved foreign language.

Before the review of course work at the end of the second year, a student is expected to have been certified as proficient in at least one approved language. The student must complete the entire language requirement before taking the oral qualifying examination.

There are no "canonical languages" in the program. Rather, each new Ph.D. candidate will prepare a brief "Preliminary Rationale for Language Study" indicating the manner in which they intend to fulfill the language requirement; this rationale is subject to approval by the Chair of Language Exams. The student's statement will specify which languages are to count, how they relate to the student's intellectual interests, and on which level (proficiency or advanced) the competence in each language is to be demonstrated. If the student believes

that part of the requirement has already been met by recent course work (see below), the rationale should also include that information.

The Graduate Division will accept any natural language with a system of writing if the department certifies that the language has scholarly value in the field; if the language is integral to the training of a particular student; and, if a member of the Berkeley academic senate qualified to administer the examination is available. Each student selects the language(s) used to satisfy this requirement from a set of languages certified by the Graduate Council. Students may substitute an uncertified language only if the Graduate Chair makes such a request and it is approved by the Dean of the Graduate Division.

Proficiency is understood as the ability to translate (with a dictionary) a passage of about 300 words into idiomatic English prose in ninety minutes. Following instructions provided by the chair of language exams, students take the exam on a computer of their choice, using a print dictionary, an online dictionary suggested by the department, or both. Copies of past examinations are available by request through the GSAO. Proficiency examinations are given three times annually, during the third week of instruction in the Fall and Spring semesters and at the end of the Spring semester. (Please note the specific information announced each term by the Graduate Office.) A student has the option of not handing in the examination. Examinations passed elsewhere will not be accepted in fulfillment of this requirement.

The language requirement can be met, wholly or in part, through prior or current course work. A student who has successfully completed one upper-division or graduate literature course in a language approved by the Graduate Chair may count that language toward fulfillment of the proficiency requirement. The same credit can be given for successful completion of a course after enrollment at Berkeley. In the case of Old English, however, two upper-division or graduate courses are needed. In the case of Latin or Greek, the proficiency requirement may be satisfied by completing the Summer Workshop with a grade of "B" or better.

Advanced knowledge in an approved language will be granted if a student passes an advanced language exam or successfully completes a number of upper-division or graduate courses in the literature of that language. For the exam, advanced knowledge is understood as the ability to translate (without a print or online dictionary) a passage of about 1,000 words into idiomatic English prose in three hours. According to Graduate Division standards, students must demonstrate an exceptionally thorough reading knowledge and an adequate knowledge of the grammatical structure of the language. For the coursework, advanced knowledge will be granted upon the successful completion of a number of upper-division or graduate courses in the literature of that language, as follows:

- 1) three such courses taken prior to enrollment at Berkeley;
- 2) two such courses taken after enrollment at Berkeley; or
- 3) two such courses taken prior to enrollment at Berkeley plus one such course taken at Berkeley thereafter.

In order to be counted toward either proficiency or advanced credit, a given course (whether current or prior) must be passed with a grade of "B" or better. Prior courses must normally have been taken within four years of enrollment at Berkeley. Courses in which the foreign literature is read in English cannot be counted toward the requirement. If there is any other compelling evidence of linguistic competence, such as a record of published translations, the student may petition the Graduate Chair for credit in this language.

Advising

The Graduate Chair serves as Director of Graduate Studies and as the Head Graduate Adviser for all students in every phase of the program. The Graduate Chair is available for consultation during regularly scheduled office hours and by appointment. The Graduate Chair provides final departmental approval for all

programmatic matters, including satisfaction of the course and language requirements, fields for the qualifying exam, and the dissertation prospectus, as well as for various administrative and bureaucratic matters. The Graduate Chair will hold a mandatory meeting with each incoming student during orientation week to review requirements and discuss strategies for fulfilling them over the next two years. One function of this meeting will be to inform students of faculty members who share their academic interests and, in turn, inform faculty members of students being directed their way. In addition, the Graduate Chair will serve as the Second-Year Review adviser for students who have not already chosen an orals adviser. The Graduate Student Affairs Officer also serves as an adviser regarding the technical details of the program's requirements and for administrative and bureaucratic matters.

All entering students will be assigned a faculty mentor to give additional guidance on course work, language requirements, and professional development in the first two years of the program. There is no expectation that first-year mentors will serve on an advisee's qualifying exam or dissertation committee, though they may serve. Mentors will be assigned by the Graduate Chair in consultation with the Admissions Committee Chair.

The Second-Year Review

Graduate Students in their fourth semester must participate in a "Second-Year Review Meeting." This 30-minute meeting will include the student, the Graduate Chair, the GSAO, and either the student's preferred QE adviser or the first-year adviser. Prior to the meeting, the student should have updated their [Progress Page](#) with current information about coursework, languages, incompletes, etc.

During the Second-Year Review Meeting, the group will discuss coursework, outstanding requirements, teaching, and begin to sketch out a study plan for third year. Ideally, the student will leave the meeting with a sense of where to begin with the drafting and circulation of QE lists.

PLEASE NOTE: In order to remain in good academic standing, students must resolve any incompletes by the end of the semester following the one in which the incomplete was taken. Incompletes can keep the student from advancing to the qualifying exam and adversely affect the student's chances for fellowships, teaching appointments, and readerships. Instructors may submit incomplete grades directly through CalCentral.

The M.A. Degree

The M.A. Degree for Students in the Ph.D. Program

The Ph.D. program has no comprehensive M.A. requirement. Doctoral students who wish to take the M.A. because they have decided to leave the Ph.D. program or in transit to the Ph.D. as an additional credential for a specific professional opportunity may do so in one of the following ways:

- 1) By writing an M.A. thesis; or
- 2) By passing a written M.A. examination; or
- 3) By retroactively converting a successful QE into an M.A. examination

Students who select the thesis option must fulfill the historical breadth requirement and complete at least 20 total units with a minimum overall grade-point average of 3.0. The thesis must be directed and approved by a three-member faculty committee configured as follows: a Chair (English Dept.); a second inside reader (English Dept.); a reader from outside the English Dept. (this is preferred, but not required and may be replaced by a third English Dept. reader). The thesis must also conform to Graduate Division guidelines: <https://grad.berkeley.edu/academic-progress/thesis/>.

Students who select the written examination option must fulfill the historical breadth requirement and complete at least 24 total units with a minimum overall grade-point average of 3.0. Students must petition to take the exam, by written request of the Graduate Chair, before the end of the fifth week of the semester. Students select one historical field on which to be examined and may submit a brief written statement describing specific interest(s) within that field. The Graduate Chair appoints two faculty members who each write two broadly conceived questions, from which the student selects two to answer, for an eight-hour open-book exam. The exam is sent electronically by the graduate office to the student at an agreed upon time and the student must return their essays electronically within eight hours. Both faculty members grade the exam; in cases of disagreement, a third faculty member will be consulted.

Students who select the third option should understand that, once a QE has been reclassified as an M.A. examination, it can no longer serve as a QE. Although it may be possible for a returning student to retake the QE, this option should be reserved for those who have decided to leave the program with an M.A.

The M.A. with Emphasis in Creative Writing for Students in the Ph.D. Program

For students interested in writing a creative M.A. thesis, the Graduate Program requires enrollment in the English Ph.D. program (see below for exceptions), enrollment in an English 243 seminar, and a proposal, to be submitted by the student to two thesis advisors who have agreed to advise the thesis and to the chair of the department's Creative Writing Committee.

The two thesis advisors should include a director (a member of the English department faculty) and a second reader. At least one advisor should be a faculty member whose primary expertise is creative writing. The other may be from a traditional scholarly field. The proposal should be 500-1000 words long, should describe a field of concern and/or an initial argument for the thesis, and should include a projected completion date for the thesis. When the proposal has been approved, the Creative Writing Committee Chair will send it to the Graduate Student Affairs Officer, who will add the M.A. as a degree goal for the student in CalCentral.

The student should meet regularly with the thesis director to examine drafts of the work and discuss the progress of the project. The final shape and genre of the thesis are to be decided by the student and the thesis director. The thesis *in toto* should be of substantive length, but it may comprise a group of discrete and connected pieces or sustain a single project. A critical introduction is optional.

Once the completed thesis has been approved by both thesis advisors, the director will inform the Creative Writing Committee Chair, who will notify the Graduate Student Affairs Officer. The Graduate Student Affairs Officer will complete the M.A. degree goal in CalCentral.

Students enrolled in other UC Berkeley doctoral programs may petition the Graduate Chair in English for admission to English in pursuit of the Creative M.A. This petition should include the 500-1000 word proposal described above, a note of recommendation from the Head Graduate Advisor of their own department, and a note of agreement from a faculty member in the English department to direct the thesis. Admissions requirements and decisions will be made by an ad hoc committee comprised of the Graduate Chair, the Admissions Chair, and the Creative Writing Committee Chair. Such students, once admitted, remain subject to all other degree requirements above. Units counted toward the Creative M.A. may not be counted toward any other master's degree.

Questions about procedure should be directed to the current Creative Writing Committee Chair.

REQUIREMENT 4: QUALIFYING EXAMINATION

Students who have fulfilled all course and language requirements proceed to the Qualifying Examination. Students are expected to take the Qualifying Examination by June 30th of their third year.

Exam Format

The Qualifying Examination consists of three parts—two historical fields and a third field designed by the student—of approximately equal time (about thirty minutes each).

The student should choose their two historical fields from those listed below. The lists should be historically or geographically contiguous, although students with special research interests may petition to the Graduate Chair for approval of non-contiguous fields.

1. Old English
2. Middle English
3. Sixteenth Century
4. Seventeenth Century through Milton
5. Restoration and Early Eighteenth Century
6. Later Eighteenth Century
7. Romantic
8. Victorian
9. British Twentieth Century
10. American Seventeenth and Eighteenth Centuries
11. American Nineteenth Century
12. American Twentieth Century
13. Contemporary
14. Comparative Ethnic and/or Anglophone Literatures

When constructing a historical field list, students, advisers, and examiners should strive to balance familiarity with the field and individual emphases. The Graduate Office maintains aggregate field lists on which students can draw as they construct their own.

The third part of the QE examines students on a field list and a field statement of their own design. A field statement of **fifteen to twenty pages**, plus a bibliography of no more than four pages, must be approved by the student's QE Adviser and Field Statement Examiner and then submitted to the Graduate Office **at least thirty days prior to the QE**.

The field statement is not a prospectus setting out the specific plan of research for a dissertation, but a more preliminary engagement with the theoretical, methodological, and disciplinary resources that may best help a student work toward a prospectus. The field statement's bibliography provides the sources that inform the writing of the statement. The statement may proceed as a single discussion or may be modular in structure, articulating a series of questions and arguments about the works on the bibliography. **Failure to submit the field statement on time can result in an examination's delay.**

Exam Timeline

Students begin planning and preparing for their exams *at the end of the second year*. The preliminary identification of fields, lists, and examiners is, in fact, a key topic of conversation during the required second-year review at the end of the spring semester. This discussion should leave the student in a position to begin reading for exams before the third year begins.

At the start of the third year, students begin to consult in earnest with potential examiners. This process begins with the QE adviser but should extend to all committee members. In consultation with their QE adviser and during the first semester of the third year, students should identify their preferred field examiners, asking them first to serve on the exam committee and then to edit and approve draft lists. The student should end the fall semester preparing to submit finalized lists at the start of the spring.

At the beginning of the second semester of the third year, students complete a QE application, signed by the QE adviser, which includes a brief description of the third field and drafts of the third-field list and the two historical field lists, each approved by the student's relevant field examiners. The student and adviser should also propose an appropriate "outside examiner" (see below).

During that semester, the student should:

- Finish and review their reading.
- Complete work on the third-field statement.
- Remain in regular contact with their adviser and field examiners.

While students may wish to do mock exams with their advisers as practice for the actual qualifying examinations, they should not do mock exams with their field examiners. This does not mean that students are precluded from otherwise discussing the substance of their lists with field examiners.

To facilitate scheduling, Qualifying Exams should take place before the end of the spring semester. **Exams will not be scheduled in July or August.**

One month before the exam, students will submit to the graduate office the approved third-field statement and a finalized list for each field. The graduate office will distribute the three lists and the field statement to the whole exam committee no later than one week before the exam date.

After a successful exam, the student can expect a written report from the Exam Chair (described below) and should immediately advance to candidacy (also described below).

If, however, a student fails one or more parts of the exam, they are eligible to retake that part or those parts with the reconvened whole of the original committee "the Graduate Division "Guide to Graduate Policy,"

Exam Committee

Qualifying Examination committees consist of five ladder faculty, at least three of whom must be from the English Department, serving in the following capacities:

1. TWO FIELD EXAMINERS: Each of these examiners has the primary responsibility for one of the historical fields chosen by the candidate. A field examiner should expect to conduct a direct examination of approximately thirty minutes on that field.
2. A FIELD STATEMENT EXAMINER: The field statement examiner should expect to conduct an examination of approximately thirty minutes on the candidate's field list and statement, circulated to the full committee prior to the exam.
3. ONE GENERAL EXAMINER: The primary aim of the general examiner is to maintain an overview of the examination and to ensure that the standards of the Graduate Division and the department have been met. The general examiner is also given ten minutes at the exam's end to ask additional or follow-up questions; although invited to participate, the general examiner is not *required* to use that time. Although the general examiner normally comes from a department other than English and thus serves also as an "outside examiner," the DGS can allow for exceptions when a committee proves difficult to constitute. Similarly, when a student invites someone from another department to serve as field statement examiner, the position of general examiner will be filled by a member of the English Department.
4. THE CANDIDATE'S ADVISER: The faculty member primarily responsible for guiding the candidate in their selection and preparation of fields will also be on the committee. The adviser's presence should reduce the ambiguities and misunderstandings that can arise between the candidate and the committee as to the nature and content of the fields under examination.

One of the above examiners, though *not* the QE adviser, will be selected by the Graduate Office to chair the examination. The QE chair must be a member of the English Department and of the Berkeley Division of the Academic Senate. The QE chair ensures that the examination is well- conducted and thorough as well as fair to the candidate, that there is a judicious coverage of the material in breadth and depth, clear lines of questioning, and a variety of approaches to the material. The QE chair also drafts the report of the examination results. The chair should circulate an initial draft to all exam committee members within seven days of the exam. While the chair may wish to incorporate suggestions from other committee members, ultimate authority over the content of the report remains with the chair (though any committee member has the option of filing a letter supplementing the chair's report). The final report should be no more than 700 words and must be filed in the Graduate Office within 14 days of the exam. The Graduate Student Affairs Officer will forward the report to the student and put a copy in their file.

According to Graduate Division rules, the QE chair cannot go on to become the candidate's dissertation director.

Another of the examiners, again selected by the Graduate Office, will serve as Academic Senate Representative (ASR). The ASR must be a Berkeley Academic Senate member and serves as the Dean's representative to lend the balance and independence needed to ensure that the candidate's mastery of the subject matter is broad and comprehensive. The ASR may also serve as a field examiner or as the general examiner but cannot chair the exam.

Advancement to Candidacy for the Ph.D.

When the student has fulfilled the program's first four requirements, they must apply to the Dean of the Graduate Division for advancement to candidacy for the degree of Doctor of Philosophy. This is done in CalCentral, with the Higher Degree Committees Form, available through the "Student Resources" section. A candidacy fee of \$90 will also be assessed and charged to the student's account. At this time, students may inquire about the degree of Candidate in Philosophy (C.Phil.), for which they are now eligible. The C.Phil is different from the MA, which is described above.

Students should submit their application for candidacy no later than June 30th at the of their third year. Students who have not filed for advancement to candidacy by the end of their third year cannot be guaranteed employment as either GSIs or Readers.

After advancement is approved, the candidate will undertake 12 units of Independent Study (English 299) for prospectus or dissertation writing. The Graduate Office emails instructions to enroll in English 299. Students should enroll in 12 units of English 299 with the DGS **before** they have taken their QE and advanced to candidacy. Students must enroll in 12 units of English 299 with their dissertation adviser **after** they have advanced to candidacy. The DGS is responsible for assigning grades for students taking English 299 units **before** they have advanced to candidacy; the dissertation adviser is responsible for assigning grades for students taking English 299 units **after** they have advanced to candidacy.

When international students advance to doctoral candidacy, they become eligible for a Graduate Division waiver of "Nonresident Student Tuition" (NRST) for a period of three calendar years. For further information, see the section on NRST toward the end of this handbook.

REQUIREMENT 5: DISSERTATION

Dissertation Committees

The dissertation committee is normally composed of three or four faculty members: the Chair, one or two secondary readers, and an outside reader. The Chair and at least one secondary reader of the dissertation must be from the English Department.

The outside reader (who also serves as the Dean's Academic Senate Representative) must be a member of the Berkeley Academic Senate and typically should come from a department other than English. However, students may petition the Graduate Chair for an exception if they are having difficulty constituting a committee or if there are compelling academic reasons for convening a committee with English faculty only. The outside reader cannot serve as chair or co-chair.

The Graduate Division requires a minimum of three faculty members for all dissertations. For some projects, it may be desirable to add a fourth member to the committee as an additional secondary reader. There are different options for adding a fourth committee member.

A student can invite an English department faculty member to serve as an additional secondary reader. This is warranted when a student's project requires additional faculty expertise from within the English department. This reader is thus an additional inside reader. The role played by such a reader should supplement but in no way replace the core advising carried out by the Chair or other members of the committee. If the student chooses to add such a reader, the role of that English faculty member should be clearly understood by the whole committee. It is recommended that the student consult with the dissertation Chair about the appropriateness of adding a reader from within the department. No official approval is required by the

Graduate Chair to add an English department faculty member as a reader.

Committees may be co-chaired, but not by two members of the English department. If a committee is co-chaired by a faculty member within the English department and a faculty member from another Berkeley department, it is still necessary to have a second reader (from inside the English department) and an outside reader (the ASR, ordinarily from another Berkeley department). Co-chaired committees are by necessity four member committees; typically two members are from the English department and two members are not.

University policy states that, with the Dean's approval, a non-Berkeley faculty member may be added to the committee as a fourth (or fifth) additional member. A non-Berkeley faculty member cannot serve as the outside (ASR) reader. Any request to add a non-Berkeley faculty member to the dissertation committee should come first to the Graduate Chair. If approved, the Graduate Office will instruct the student on how to add the additional committee member.

Professors Emeriti (or Professors of the Graduate School) are members of the academic senate. They may direct dissertations and serve as readers.

Prospectus for the Dissertation

The prospectus consists of an essay and bibliography setting forth the nature of the research project, its relation to existing scholarship and criticism, and its anticipated value. The essay is meant to serve as an introductory "working paper" that articulates in ten to fifteen pages the issues to be addressed in the dissertation, the approach the candidate expects to take, the relation of that approach to recent knowledge and judgment as expressed in published sources, and an indication of how the candidate plans to begin the project. The bibliography, of approximately five pages, represents a preliminary survey of the pertinent primary and secondary literature. The prospectus should be developed in consultation with the dissertation director and presented for approval within one or two semesters following the qualifying examination.

Since the prospectus conference is not an examination, the director must not allow the conference to be scheduled until they are reasonably satisfied that the prospectus has been carefully written and proofread, that its bibliographic citations are pertinent and up to date, and that the general line of proposed argument is sound. The prospectus conference is not an appropriate setting for negotiating fundamental differences between the candidate and director.

When the student is ready to schedule a prospectus conference, they must submit two items to the Graduate Office at least two weeks prior to the conference date: (1) a copy of the prospectus, approved and signed by the dissertation director; (2) the Prospectus Graduate Chair Approval form. If it seems appropriate, the Graduate Chair may request further written clarification of the project before giving it final approval. Prior to the conference, the student must also obtain from the Graduate Office the Prospectus Conference Approval form, which the dissertation committee members should sign after the conference, giving final approval to proceed with the dissertation; the student will return the signed approval form to the Graduate Office.

At the prospectus conference, the committee members explore with the candidate the issues outlined in the proposal. Normally the conference takes about an hour. Its purpose is to enable the candidate to begin work on the dissertation having benefited from a full and detailed discussion with all concerned faculty present. After the conference, the candidate writes a memorandum of the discussion and submits copies to each member of the committee and to the Graduate Office, whose copy will be placed in the candidate's file. The other copies will serve the dissertation committee members as a baseline of expectations and will be especially useful in the next year's meeting of the dissertation candidate with their committee--a gathering mandated by the Graduate Division, which expects an annual report of progress toward completion (also known as the Doctoral Candidacy Review).

The prospectus conference must be completed by the end of the fourth year. Students entering year five who have not completed the conference may be ineligible for some GSI appointments until the prospectus is approved and the conference is scheduled.

Doctoral Candidacy Review (DCR)

All students in candidacy are required to file an annual Doctoral Candidacy Review (DCR) with Graduate Division. The DCR form is available to graduate students and their dissertation chairs in CalCentral: <https://grad.berkeley.edu/academic-progress/advanced/doctoral-candidacy-review>. Graduate students should complete the form after meeting with at least two members of their committee (preferably all members); dissertation chairs then review, add comments, and release it back to the students for response. After students complete the DCR, they should then upload a copy of it to the Department Progress Page.

The on-line report is available from the first day of the fall semester until the day before the fall semester in the following year. Students who do not file the DCR will not be able to claim their Doctoral Completion Fellowships (DCF) the following year. They also may be denied other requests from Graduate Division, including extensions of candidacy.

Submission of the Dissertation

The dissertation is the culmination of the student's graduate career; it is expected to be a substantial and original work of scholarship or criticism. Instructions about final deadlines, filing fee eligibility, preparation of the manuscript, approval page, copyright, and embargo should be obtained from Graduate Services on-line at <http://grad.berkeley.edu/academic-progress/dissertation/>.

TEACHING APPOINTMENTS

The Graduate Division, in accordance with a contract negotiated with the United Auto Workers, administers all general policies and practices regarding Academic Student Employees (ASEs), including Graduate Student Instructors (GSIs) and Readers. All ASEs are represented by contract with the UAW (<http://ucnet.universityofcalifornia.edu/labor/bargaining-units/bx/contract.html>). For more information about general employment policies and practices, including the labor agreement, see the Graduate Division's web site at <https://grad.berkeley.edu/financial/appointments/>.

To be eligible for academic student employment, as a GSI, GSR (Graduate Student Researcher), or Reader, students must meet [the requirements listed by the Graduate Division](#). All Academic Student Employees working 25% time or more [are eligible for in-state fee remissions in addition to their pay](#). For more information regarding fee remissions and current pay rates for ASEs, please see the Graduate Division's [Appointments Handbook](#).

Graduate Student Instructors

The Department of English employs Graduate Student Instructors (GSIs) in two basic capacities. Students in the earlier stages of the program who have not yet passed the qualifying exam and advanced to candidacy lead discussion sections in larger lecture courses taught by faculty members (with preference given to one of the department's English 45 courses). Advanced graduate students typically teach their own sections of English R1A/B Reading and Composition, but may also assist in larger upper-division literature courses, usually by leading discussion sections. GSIs working with a faculty member in a lecture course can expect

the instructor to provide a workload worksheet outlining how much time is assigned to each task required for the course.

In February, students expecting to teach in the following year fill out the Funding Review and Teaching Preferences form online, ranking their teaching preferences for each semester. The department uses this information to help plan our staffing for the upcoming year. The Graduate Chair makes teaching assignments in consultation with the Graduate Student Affairs Officer. Appointments are assigned considering departmental need, student preference, academic record, teaching performance, progress toward the degree, and any prior teaching experience. Typically, eligible students who are in good standing will receive a teaching appointment.

To be appointed to teach Reading and Composition, GSIs must first pass their qualifying examination and advance to doctoral candidacy. Students who have not yet taken their QE at the time of application may still apply but appointments will be for the semester following the exam and contingent upon passing and advancing to candidacy.

The Department follows the general regulation of the Graduate Division, which limits graduate student instructor appointments to eight semesters (excluding summer appointments). Students teaching in their 9th or 10th semester will require an official exception to the limiting rule, approved by the Graduate Chair. Based on departmental scheduling needs, students may thus be appointed as instructors for up to five years, with a sixth possible only by special exception from the Graduate Division. By system-wide university rule, the Graduate Division cannot approve exceptions beyond six years of teaching.

Summer Teaching

The application for teaching during the summer will generally be available in September for the following summer. To be eligible to teach an R&C course, you must be advanced to candidacy before the appointment date. Graduate students who have completed the prospectus are invited to propose courses other than R1A and R1B as well. Summer GSI appointments are based on the step of the student's previous appointment. Salary steps do not advance during the summer. Summer Sessions reserves the right to cancel courses that enroll fewer than 6 students.

Readerships

Graduate students in English may be employed to read papers and exams in several of the Department's larger undergraduate courses. A Reader is responsible for the work of at least forty students throughout the term. Pay is based on an hourly rate, covering time spent in class and in holding office hours, plus a quantity of grading time per student. Readers can expect the course instructor to provide a workload worksheet outlining how much time is assigned to each task required for the course.

A Reader enrolled in the Ph.D. program may also receive academic credit for their work in the following manner. With the consent of the undergraduate course instructor in charge of the readership, the Reader may enroll in 4 units of English 298 (Independent Study), to be directed by that instructor and covering the course topic. In addition to normal readership duties, the Reader will write a substantial paper and will receive a letter grade from the instructor. Only one such "Readership 298" may be used to fulfill part of the Ph.D. course requirement. With the graduate adviser's consent, this course may be included as one of the twelve (non-teaching) courses (see Requirement 1, page 3).

Graduate Division Policy on GSI Absences from Teaching

Source: <https://grad.berkeley.edu/financial/appointments/handbook/#absencesleaves>

Employees are responsible for reporting absences from campus and any missed work assignments to the Chair of the Reading and Composition Committee or to the Graduate Adviser. If an absence is unexpected (i.e., due to a sudden illness or accident) or will be for an extended period the Department Manager must be notified in a timely manner so that alternate arrangements can be made to limit impact.

ASEs may also be eligible for various paid and unpaid leaves, including military leave, short-term family-related leaves, long-term family-related leaves, bereavement, and jury duty. Please refer to the [UC-UAW Agreement](#).

CONTINUING STUDENTS: FELLOWSHIPS AND NORMATIVE TIME

Doctoral Completion Fellowship

The [Doctoral Completion Fellowship](#) (DCF) is a two-semester award that provides a stipend in each semester plus all fees and tuition (though it may be taken in non-consecutive semesters), once a student has advanced to doctoral candidacy. Students who accept the DCF may claim the award within normative time plus one year, so through the seventh year. Students seeking to take the DCF will also be required to apply for (though not necessarily to win) at least one external fellowship.

To be eligible for the DCF students must:

- Be in good academic standing with a minimum GPA of 3.0.
- Have a current, satisfactory annual progress report (DCR) on file with Graduate Division.
- Have Advanced to Doctoral Candidacy, no later than in the previous semester.
- Apply for at least one external fellowship.
- Participated in PhD completion activities or in other requirements.

Claiming the DCF Award

Students who qualify may accept the award at their discretion at any time between their advancement to candidacy and filing their dissertation or the end of the year Normative Time to Degree (NTD) plus one year (seven years, in English). While many students take this fellowship in the fifth year of the program, each student should discuss the timing of the DCF with their adviser.

[For students enrolled in the graduate program in Spring 2020, during the Covid-19 disruption, Graduate Division has extended normative time by one year (two semesters). For these students, NTD plus one becomes eight years.]

Approved DCF Normative Time Calculation Credits

The DCF requires students to maintain progress based on Normative Time, which the English Department calculates in accord with regulations established by the Graduate Division Degrees Policy handbook. These rules, which can be found at <https://grad.berkeley.edu/policy/degrees-policy/#f31-doctoral-completion-fellowship-dcf>, cover important topics such as extensions of Normative Time for approved medical withdrawal and [parenting accommodations](#).

The Berkeley Connect Mentoring Program Fellowship

"Berkeley Connect in English" has two goals: [1] to provide mentoring to the English Department's declared majors and to undeclared or transfer students considering an English major and [2] to provide tuition and fellowship support to Berkeley Connect Fellows, current graduate students or (more rarely, in exceptional circumstances) recently graduated Ph.D.s.

Berkeley Connect in English builds stronger connections between undergraduates, graduate students and professors. Small groups of undergraduate majors and undeclared students interested in the study of literature meet with faculty members and graduate student mentors throughout the semester. The Berkeley Connect Fellows mentor two small groups of undergraduates each; there are about 20 students per group, and the groups are sorted into three categories: lower-division students, upper division non-transfer students, and transfer students. Each semester, the Berkeley Connect syllabus includes three kinds of activities: *small group meetings*, which focus on topics of interest to undergraduate English majors (such as "What is the English Major?" and "Keywords for English Majors"); *events for larger groups* (ranging from visits to important resources at Berkeley [like the Bancroft Library and the Berkeley Art Museum], to a Career Panel to faculty presentations of various kinds [such as "The Book that Made Me a Professor"]); and *one-on-one advising* on course selection and other issues facing English majors. These activities take place approximately every other week, and on the off weeks the Berkeley Connect Fellows hold office hours for whatever students wish to consult them. Fellows also assist in providing a range of other resources, such as the formation of creative writing workshops, study groups, lists of internships, guides to events, and so on.

Berkeley Connect Fellows spend approximately 8-10 hours per week on meeting with students, attending events, leading discussion, and working with the Berkeley Connect director and faculty members. While discussion of academic work will obviously play a role in being a mentor, **mentoring explicitly does not involve help with paper writing, reading drafts or teaching analytical skills. Thus, discussion preparation and paper grading are not part of the duties of a mentor.** At the same time, however, being a mentor, like being a GSI, will entail being accessible and responsive by email. Past Berkeley Connect Fellows reported that mentoring takes substantially less time than teaching, which leaves plenty of time for research.

SUMMER SUPPORT FOR LANGUAGE STUDY

Every student who is making satisfactory progress may apply to the Graduate Chair for summer support for language study. The department covers the cost of taking a summer language course at Berkeley. Students may choose to enter a summer language program elsewhere, upon approval of the Graduate Chair. The fees for summer language study at an institution other than Berkeley will be reimbursed to the student assuming the successful completion of the course with a grade of B or above. Travel and living expenses are not reimbursable. Fees for the program are reimbursable only up to the cost of the same or an equivalent course taken here at Berkeley. Receipts for fee payment are required for reimbursement. Students may alternately receive summer language support by proposing a plan for individual or group tutoring. The plan must be approved by the Graduate Chair. For approved plans, the department will cover tutoring costs up to \$1500.

Students may receive summer support for language study or tutoring one time only from the department.

The application for summer support for language study is emailed to all students at the beginning of February and due at the end of the month.

ENGLISH DEPARTMENT GRADUATE STUDENT PRIZES

The Joel Fineman Prize is awarded annually for the best essay written by a first-year graduate student in the department. Essays may be nominated by faculty members or submitted directly by students to the Graduate Office. Ordinarily, the deadline for submission will be in mid to late summer. Faculty reviewers will judge the prize, which carries a \$250 award.

The Benjamin Kurtz Prize is awarded annually for the best essay submitted in a graduate course. Any paper written by an English graduate student in a graduate course during the academic year is eligible. Essays may be nominated by faculty members or submitted directly by students to the Graduate Office. Ordinarily, the deadline for submission will be in mid to late summer. Faculty reviewers will judge the prize, which carries a \$500 award.

The Benjamin and Barbara Kurtz Dissertation Chapter Prize is awarded annually for an outstanding dissertation chapter. Complete chapters may be nominated by faculty members or submitted directly by students to the Graduate Office. Students who already have won the Kurtz Dissertation Chapter Prize are ineligible to apply. The deadline for submission will be in late summer or early fall. The Awards Committee and Graduate Program Committee will determine the prize, which carries a \$2,000 stipend.

In addition to teaching awards granted by the Graduate Division (the Outstanding Graduate Student Instructor Award [OGSI], for example), the department presents its own annual Outstanding Teaching Award (OTA) for sustained excellence in teaching by graduate students in the department. In conformity with other graduate prizes, nominations (including self-nominations) may be made by graduate students as well as by members of the faculty. One to three applicants will be selected for the OTA, which carries a \$500 award. Decisions will be made by the Pedagogy committee and the Graduate Chair, in consultation with the Prizes committee. Candidates for the OGSI will be selected from the pool of eligible applicants for the departmental prize and submitted to the Graduate Division.

BERKELEY LECTURESHIPS

Up to two non-renewable, one-year lectureships in English will be awarded to recent recipients of the Ph.D. Under the sponsorship of a faculty mentor, Berkeley Lecturers will pursue their own scholarly research and professional development. They also will teach one upper-division seminar in English each semester and offer extra, drop-in office hours throughout the year to assist undergraduates with their writing. Lectureships will be determined by a committee consisting of the Department Chair, the DGS, and the Director of Undergraduate Studies (DUS).

ADMINISTRATIVE PROCEDURES

Registration and Enrollment (CalCentral On-line System)

The CalCentral system allows students to enroll in classes on-line (<https://calcentral.berkeley.edu>), well in advance of the next semester. Courses may also be added or dropped using CalCentral during the first three weeks of instruction (the Adjustment Period). After that time, students must contact the GSAO to add or drop courses.

Graduate Students enroll in twelve units in each semester to qualify for full-time status and remain eligible to receive fellowships, financial aid support, or to hold academic student employment (GSI, GSR, Reader). Students who have completed the coursework requirements and have not yet taken their Qualifying Exams

should enroll in 12 units of **English 299**, independent study for dissertation research & writing, with the DGS. Students who have advanced to candidacy should enroll in **English 299** with their dissertation director.

Withdrawal

Withdrawal requests are submitted in CalCentral, through the Student Resources section. Withdrawn students must contact the GSAO prior to the semester in which they wish to return. If needed, upon reenrollment students may be required to submit the Statement of Legal Residence.

Establishing California Residency

All out-of-state graduate students who are U.S. citizens or permanent residents, including those on fellowship, should begin to establish California residency status before the beginning of their first semester. It takes one year for residency status to be granted for tuition purposes. If you fail to establish residency, you may be required to pay nonresident tuition in your second year regardless of fellowship status. For further information, please consult the web site for Office of the Registrar (<https://registrar.berkeley.edu/tuition-fees-residency/residency-tuition-purposes/in-state-tuition-graduate-students>) or contact the Residency Office, orres@berkeley.edu.

International Students: Nonresident Student Tuition (NRST)

International students cannot establish California residency and therefore are subject to the cost of NRST throughout their graduate careers at Berkeley. NRST costs are paid in the following manner: by a combination of departmental and campus funds in the first three years; by Graduate Division waiver in the three years following advancement to candidacy; by departmental funds in year seven. International students who want to extend into an eighth year can petition the department for payment of NRST in one additional semester. The petition should be submitted by the end of the preceding semester (typically the spring semester of the seventh year), and it should (a) demonstrate good progress on the dissertation; (b) articulate a persuasive plan to complete the dissertation; and (c) include approval from the dissertation director. Petitions are subject to approval by the Graduate Chair.

Ph.D. Qualifying Exam Procedures

1. Student completes the Qualifying Exam Application form (sent by the GSAO) at the beginning of the semester in which they intend to take the exam.
2. Graduate Chair assigns committee members.
3. GSAO schedules exam date in collaboration with the Graduate Chair and the proposed committee members.
4. Once an exam date is confirmed, and no later than three weeks before the exam, student submits Higher Degree Committees Form in CalCentral. This form must be then approved by the GSAO.
5. Upon approval by the advisor and field examiner, the student submits their Third Field Statement to Graduate Office at least 30 days before exam. This statement will be distributed to the entire committee one week before the exam.
6. Upon completion of Qualifying Exam, the chair of the QE committee forwards the report form to the GSAO. The GSAO submits the results to the Graduate Division.
7. Within two weeks of the exam, the chair of the exam committee provides a written report to the Graduate Chair. This report is for departmental use only and is added to the student's file. The Graduate Adviser will email a copy to the student who can access the report and obtain copies of it at any time.

Prospectus Conference Procedures

1. Write the prospectus. The prospectus consists of an essay (10-15 pages) and bibliography (5 pages) setting forth the nature of the research project, its relation to existing scholarship and criticism, and its anticipated value. See the Graduate Handbook page 12 for additional information.
2. Have your prospectus approved by your dissertation director at least two weeks before your conference date.
3. Submit a copy of your prospectus signed by your dissertation director to the Graduate Chair along with the Prospectus Grad Chair Approval form. Please request the form from the GSAO. Your dissertation director may instead send their approval to the Graduate Chair by email.
4. Schedule your conference. If you need assistance scheduling a room, contact the GSAO.
5. Attend your conference. Fill out the Prospectus Conference Approval form, have it signed by your dissertation committee, and then submit it to the GSAO. Please request the form from the GSAO.
6. After the conference, write a memorandum (1-2 pages) of the discussion and submit copies to each member of the committee and to the Graduate Office, whose copy will be placed in your file.

Reimbursements

Graduate students may request reimbursement for program expenses when incurred for the purpose of presenting a paper at a conference, travel to archives critical for dissertation research, professional development, or other approved expenses. Students may be reimbursed up to \$1,500 per academic year (as long as departmental funds allow) and must submit receipts after the trip is completed or for non-travel when expenses are incurred.

Please send reimbursement requests first to the GSAO at gradadvisor_english@berkeley.edu, **before** you enter your request into the Reimbursement System. The GSAO will do an initial review for requests from these funds, and then confirm with you to proceed with the direct entry of your request. The direct reimbursement entry system can be found here: <https://reimburse.berkeley.edu>.

Reimbursement requests should be submitted as soon as possible, but no later than the last day of the Fall Semester following the academic year in which travel started or when the expense was incurred.

Links below include job aids, training videos, and other useful information for direct entry reimbursement.

Travel - <https://travel.berkeley.edu/report-expenses>

Entertainment - <https://controller.berkeley.edu/financial-operations/entertainment/report-expenses>

Other (e.g. books, supplies, equipment, conference registration, memberships, etc.) - <https://controller.berkeley.edu/financial-operations/reimbursement-system>

Disabled Student Program

The Disabled Student's Program (DSP) is committed to ensuring that all students with disabilities have equal access to educational opportunities at UC Berkeley. They offer a wide range of services for students with disabilities while working academically with the Department. Please contact the DSP office directly to learn more about what services may be available.

Disabled Students' Program
260 César E. Chávez Student Center, #4250
Berkeley, CA 94720-4250
Phone: 510-642-0518
www.dsp.berkeley.edu

THE ENGLISH GRADUATE ASSOCIATION

The EGA serves the needs of Ph.D. students in the English department at Berkeley by fostering an intellectual, social, and professional community amongst students and faculty. The EGA is also an information gateway of sorts, helping students access resources outside the department as well as bringing outside resources to the department throughout the year with various talks, colloquia, and workshops.

All English graduate students are automatically members of the EGA. The Association has officers, but merely for ease of organization; it welcomes and expects input and labor from all grads. The EGA is also represented on various departmental committees and forms mini-committees of its own as need arises. Positions are awarded by election at the end of each academic year for the coming year. English graduate students may join the EGA listserv and stay informed of events throughout the year.

DEPARTMENT OF ENGLISH FACULTY

Elizabeth Abel, *20th-c. Literature, Gender & Race Theory*
Hilton Als, *20th/21st c. American Literature, African American Literature, Drama*
Oliver Arnold, *Renaissance & Early Modern, Drama*
Raúl Coronado, *Chicanx and/or Latinx, Comparative Ethnic Studies*
John Alba Cutler, *Chicanx and/or Latinx, Poetry*
Sukanya Banerjee, *South Asian, Critical Theory, Cultural Studies, Narrative & the Novel, 19th-c. British*
Stephen Best, *19th-c. American Literature, African American Literature, Critical Theory*
C. D. Blanton, *20th-c. British Literature, Modernism, Poetry, Critical Theory*
Vikram Chandra, *Fiction Writing*
Mark Danner, *Non-fiction Writing*
Kathleen Donegan, *Early American Literature*
Ian Duncan, *18th- & 19th-c. British Literature, Narrative & the Novel*
Nadia Ellis, *Caribbean, Postcolonial, & African American Literature, Gender & Sexuality Studies*
Eric Falci, *Contemporary Poetry, 20th- & 21st-c. British & Irish Literature*
Catherine Flynn, *20th- & 21st-c. British & Irish Literature, Critical Theory, Narrative & the Novel*
Anne-Lise François, *18th- & 19th C. British Literature, Critical Theory, Poetry*
Joshua Gang, *20th- & 21st-c. British Literature, Narrative & the Novel*
C. S. Giscombe, *Poetry*
Mark Goble, *20th-c. Literature, Modernism, Film*
Amanda Goldstein, *18th- & 19th C. British Literature, Critical Theory, Poetry*
Kevis Goodman, *18th-c. & Romantic Lit., 17th-c. Poetry*
Sarah Guyer, *Poetry, Critical Theory, 19th-c. British*
Kristin Hanson, *Linguistics, Metrics & Poetic Forms*
Cathy Park Hong, *Poetry, Nonfiction writing*
Donna Jones, *Postcolonial, Literature of the Americas*
Victoria Kahn, *17th C. Literature, Milton, Critical Theory*
David Landreth, *Renaissance Literature*
Celeste Langan, *Romantic Poetry, 19th-c. Literature*
Grace Lavery, *Victorian Literature, Critical Theory, Gender & Sexuality Studies*
Steven Lee, *20th- & 21st-c. American Literature, Pacific & Asian American Literature, Cultural Studies*
Andrew Leong, *19th- & 20th-c. American Literature, Pacific & Asian American Literature*
Shu-han Luo, *Old English*
Colleen Lye, *20th-c. Literature, Asian American Literature, Critical Theory*
Tadiwa Madenga, *African Literature*
David Marno, *Early Modern Literature, Drama, Poetry*
Fiona McFarlane, *Creative Writing, Narrative & the Novel*
Jennifer Miller, *Early Middle English/Medieval Literature, Romance*
Maura Nolan, *Middle English/Medieval Literature*
Geoffrey O'Brien, *Poetry*
Samuel Otter, *17th- 18th, & 19th-c. American Literature*
Beth Piatote, *Native American/Indigenous Literature, Indigenous Languages, Creative Writing*
Joanna Picciotto, *17th- & 18th-c. British Literature*
Kent Puckett, *19th-c. British Literature, Narrative & the Novel, Literary Theory, Film*
Poulomi Saha, *South Asian Literature, Gender & Sexuality Studies, Cultural Studies*
Scott Saul, *20th-c. American Literature, American Studies*
Solmaz Sharif, *Poetry, Creative Writing*
Katherine Snyder, *19th & 20th-c. British & American Literature*
Janet Sorensen, *18th-c. British Literature*
Elisa Tamarkin, *17th-, 18th-, & 19th-c. American Literature, Transatlantic Studies*
James Grantham Turner, *17th- & 18th-c. French & English Literature*
Bryan Wagner, *American & African American Literature*
Kara Wittman, *Critical Pedagogy, the Essay/Creative Nonfiction, Rhetoric and Writing, 19th-c British Literature*
Dora Zhang, *Modernism, Comparative Literature, Narrative & the Novel*

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Jacki Valadez, Undergraduate Advisor/Curriculum Coordinator

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Dana Swensen, Communications and Development Coordinator

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Grace de Guzman, Administrative Coordinator

322 Wheeler Hall, g.deguzman@berkeley.edu